OT16 Rec'd PCT/PTO 24 APR 2015

HIKAR1,001APC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

For

: Katsumata et al.

Unknown

: 09/762.568

App. No. Filed

Examiner

February 6, 2001

: NOVEL PLASMA VECTOR

Group Art Unit 1632

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

21,2003

April 21, 2003
(Date)

Jennifer A. Hawses, Ph. D., E

REQUEST FOR CORRECTED FILING RECEIPT

ATT:OFFICE OF INITIAL PATENT EXAMINATION'S CUSTOMER SERVICE CENTER
United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

RECEIVED

MAY 0 8 2003

TECH CENTER 1600/2900

Dear Sir:

The attached Official Filing Receipt erroneously indicates that the last name of the first inventor is Katsumanta. As attested by the enclosed copy of Declaration by Inventors, the true correct last name of the first inventor is KATSUMATA.

Applicants hereby request the correction of the last name of the first inventor, and the issuance of an Official Filing Receipt indicating the correct last name of Katsumata.

As the error cited in the Official Filing Receipt was incurred through the fault of the Patent Office, no fee is believed to be required. However, please charge our Deposit Account

Appl. No. : 09/762,568

Filed: February 6, 2001

No. 11-1410 for any fees that may be incurred with this request.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Apr. [21, 700.3]

By: permeter their

Jennifer A. Haynes, Ph. D. Registration No. 48,868

Registered Agent

2040 Main Street Fourteenth Floor

Irvine, CA 92614

(415) 954-4114

W:\DOC\$VAH\JAH-6371.DOC 042103



Commissioner for Patents Washington, DC 20231

CONFIRMATION NO. 5060

20995 KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE. CA 92614 *OC000000009789819*

Date Mailed: 04/08/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filling Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

KATSUMA TA

Atsushi Katsumayta, Hachioji-shi, JAPAN; Sumio Hoshi, Hamura-shi, JAPAN; Takeshi Ihara, Ome-shi, JAPAN; Susumu Ueda. Tokorozawa-shi, JAPAN;

RECEIVED

MAY 0 8 2003

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP00/02785 04/27/2000

TECH CENTER 1600/2900

Foreign Applications

JAPAN 11158351 06/04/1999

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Novel plasmid vector

Preliminary Class

800

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon writh notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 of 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating espinange and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 12-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (ji)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase TF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filling date of the application. If 6 months has lapsed from the filling date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).